

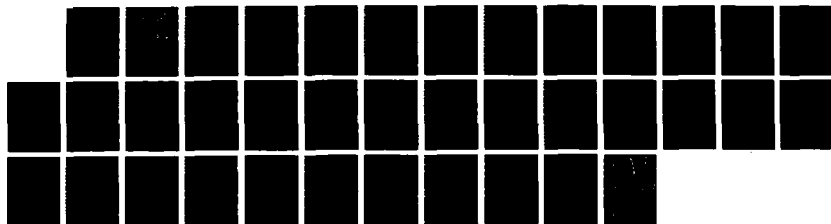
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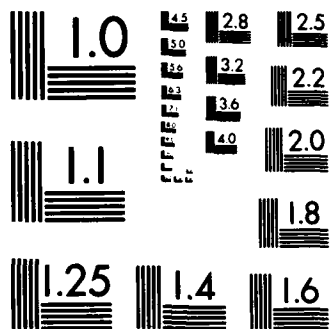
PROGRAMMABLE REAL-TIME INCOHERENT MATRIX-MULTIPLIER FOR 1/1  
OPTICAL PROCESSING(U) HUGHES RESEARCH LABS MALIBU CA  
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# PROGRAMMABLE REAL-TIME INCOHERENT MATRIX-MULTIPLIER FOR OPTICAL PROCESSING

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July 1987

N00014-86-C-0811

Final Report

September 1986 through April 1987

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SECURITY CLASSIFICATION OF THIS PAGE

## REPORT DOCUMENTATION PAGE

Form Approved  
OMB No. 0704-0188

1a. REPORT SECURITY CLASSIFICATION Unclassified			1b. RESTRICTIVE MARKINGS		
2a. SECURITY CLASSIFICATION AUTHORITY			3. DISTRIBUTION / AVAILABILITY OF REPORT		
2b. DECLASSIFICATION / DOWNGRADING SCHEDULE					
4. PERFORMING ORGANIZATION REPORT NUMBER(S)			5. MONITORING ORGANIZATION REPORT NUMBER(S)		
6a. NAME OF PERFORMING ORGANIZATION Hughes Research Laboratories		6b. OFFICE SYMBOL (If applicable)	7a. NAME OF MONITORING ORGANIZATION Office of Naval Research		
6c. ADDRESS (City, State, and ZIP Code) 3011 Malibu Canyon Road Malibu, CA 90265			7b. ADDRESS (City, State, and ZIP Code) 495 Summer St. Boston, MA 02210-2109		
8a. NAME OF FUNDING / SPONSORING ORGANIZATION		8b. OFFICE SYMBOL (If applicable)	9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER		
8c. ADDRESS (City, State, and ZIP Code)			10. SOURCE OF FUNDING NUMBERS		
			PROGRAM ELEMENT NO.	PROJECT NO.	TASK NO.
11. TITLE (Include Security Classification) Programmable Real-Time Incoherent Matrix-Multiplier for Optical Processing (Uncl)					
12. PERSONAL AUTHOR(S) Yuri Owechko					
13a. TYPE OF REPORT Final		13b. TIME COVERED FROM 9/86 TO 4/87		14. DATE OF REPORT (Year, Month, Day) July 1987	
15. PAGE COUNT 33					
16. SUPPLEMENTARY NOTATION					
17. COSATI CODES			18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)		
FIELD	GROUP	SUB-GROUP	Optical Computing                      Ambiguity Function Optical Signal Processing		
19. ABSTRACT (Continue on reverse if necessary and identify by block number)					
<p>In this Final Report, the Programmable Real-time Incoherent Matrix Multiplier for Optical Processing (PRIMO), which is based on outer-product decomposition, is described. PRIMO is a versatile optical processor which can multiply two NxN matrices in N clock cycles. In addition to matrix multiplication, PRIMO can perform such signal processing functions as correlation, convolution, 2-D Fourier transform, calculation of the cross-ambiguity function for both sliding and fixed windows (dynamic and static signals), matrix inversion, and histogram generation.</p> <p>Special attention is paid to the optimum utilization of PRIMO algorithms for compensation of modulator and detector nonuniformities. For example, it is shown that an algorithm originally developed to represent bipolar and coupler numbers can also be utilized to mitigate modulator and detector bias nonuniformities. Optimum operating points for maximum dynamic range and bias nonuniformity compensation are derived.</p>					
20. DISTRIBUTION / AVAILABILITY OF ABSTRACT <input type="checkbox"/> UNCLASSIFIED/UNLIMITED <input type="checkbox"/> SAME AS RPT <input type="checkbox"/> DTIC USERS			21. ABSTRACT SECURITY CLASSIFICATION		
22a. NAME OF RESPONSIBLE INDIVIDUAL			22b. TELEPHONE (Include Area Code)		22c. OFFICE SYMBOL

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## SECTION 1

### INTRODUCTION

The objectives of this program were to study some of the practical issues involved in developing an optical processor based in part on outer-product multiplication of matrices, especially with regard to detector nonuniformities. Such a processor would be programmable, compact, fast, and would have many applications in the processing of image and radar data, solution of large systems of differential equations, beam forming and nulling, and in many other electronic warfare applications as well.

The propagation properties of light can be utilized for signal processing and computing with large advantages over electronic computers in terms of parallel operation. Many analog optical processing systems have been proposed and implemented in the past in order to perform useful linear signal processing operations (i.e., correlation, convolution, Fourier transform, etc.) on both one-dimensional (1-D) signals (usually in time) and on two-dimensional (2-D) signals (in space, time, or frequency), such as images or synthetic aperture radar data. By utilizing the parallelism of optics, such processors have, in many cases, achieved a large data throughput advantage over digital computers. In most cases, they require coherent light with all of its associated disadvantages such as poor signal-to-noise ratio and, in some cases, interferometric tolerance requirements.

Much work has also been reported on various optical vector-matrix and matrix-matrix multipliers for optical computing. An advantage of these matrix multipliers is that, since their operation does not depend on the coherence of the light source, incoherent light can be used (except for schemes utilizing acousto-optics). Linear operations on signals, such as correlation, can be expressed in terms of the algebraic manipulation and multiplication of matrices. Therefore, optical

matrix-matrix multipliers can also be utilized for signal processing as well as for optical computing functions such as matrix inversion. Such matrix processors will have an improved signal-to-noise ratio compared to analog processors which utilize coherent light, while still maintaining a high degree of parallelism.

At Hughes Research Laboratories (HRL), we have developed a method for performing optical matrix-matrix multiplication based on the outer-product decomposition of matrices. This method overcomes one of the main drawbacks of previously proposed optical matrix multipliers; the need for a 2-D spatial light modulator (SLM). By expressing the product of two matrices as a sum of matrices, each of which is the outer-product of a row of one matrix and a column of the other, 1-D SLMs can be used. This greatly reduces the hardware requirements since currently available 2-D SLMs cannot operate at the high frame rates required and are not, in general, as highly developed as 1-D SLMs. The addressing requirements are also reduced as compared to an electrically addressed 2-D SLM.

An advantage of this implementation, as opposed to acousto-optic implementations of outer-product processors, is complete control of data clocking rates. Data can be shifted through the processor at various rates without regard to acoustic velocities, providing flexibility in system design. Also, our approach does not require the use of coherent light and lenses for processing, thus reducing size and alignment requirements.

In this Final Report, the Programmable Real-time Incoherent Matrix Multiplier for Optical Processing (PRIMO), which is based on outer-product decomposition, is described. PRIMO is a versatile optical processor which can multiply two  $N \times N$  matrices in  $N$  clock cycles. In addition to matrix multiplication, PRIMO can perform such signal processing functions as correlation, convolution, 2-D Fourier transform, calculation of the cross-ambiguity function for both sliding and fixed windows (dynamic and static signals), matrix inversion, and histogram generation.



Special attention is paid to the optimum utilization of PRIMO algorithms for compensation of modulator and detector nonuniformities. For example, it is shown that an algorithm originally developed to represent bipolar and coupler numbers can also be utilized to mitigate modulator and detector bias nonuniformities. Optimum operating points for maximum dynamic range and bias nonuniformity compensation are derived.

## SECTION 2

### TECHNICAL DESCRIPTION OF PRIMO

#### 2.1 MATRIX MULTIPLICATION AND THE FOURIER TRANSFORM

The basic architecture of PRIMO is illustrated in Figures 1 and 2. It is best understood by analyzing its operation for matrix multiplication. PRIMO utilizes the principle of outer product decomposition for optical matrix multiplication. The product matrix C of two matrices B and A is given by

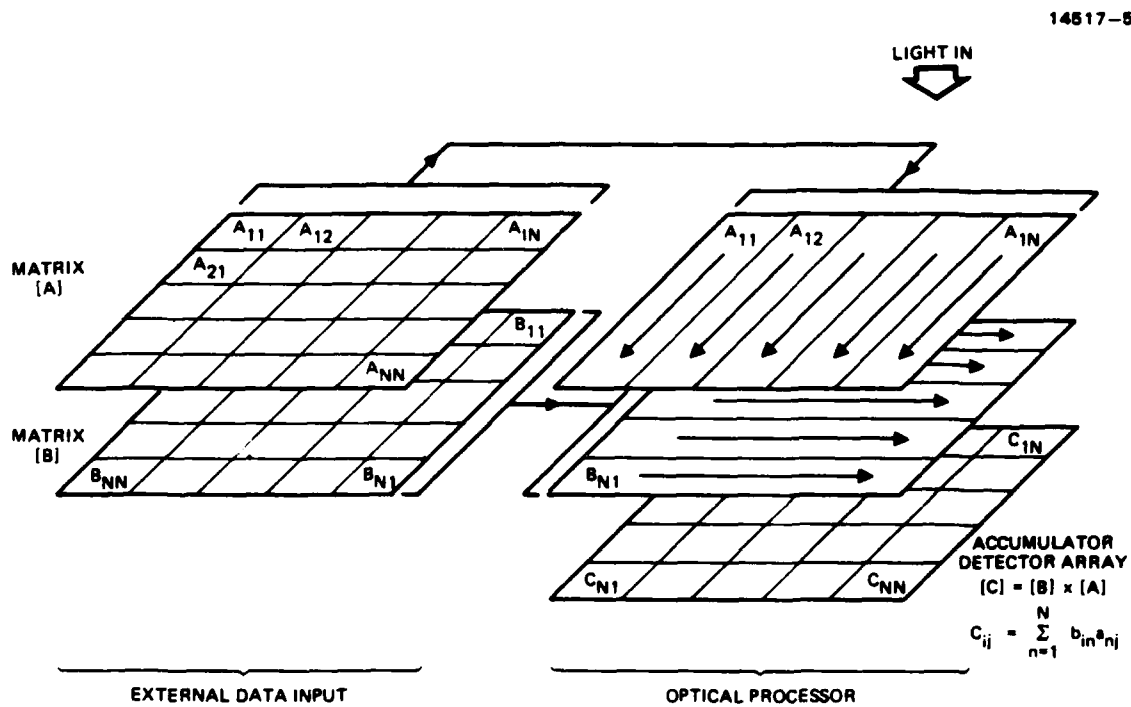
$$C = BA \quad , \quad (1)$$

where the  $ij$ -th element of C is given by the inner product between the  $i$ -th row vector of B and the  $j$ -th column vector of A:

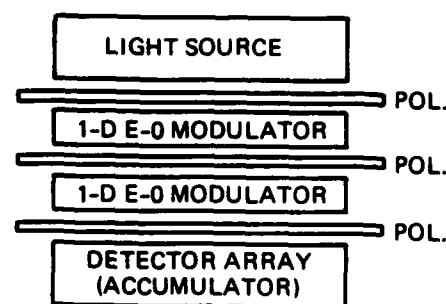
$$c_{ij} = \sum_m b_{im} a_{mj} \quad . \quad (2)$$

However, C can also be written as a sum of matrices, each of which is the outer product between a column vector of B and the corresponding row vector of A. The principle behind an outer product matrix multiplier is to sequentially feed the rows of matrix B into a 1-D SLM and the corresponding columns of matrix A into another 1-D SLM which is orthogonal to the first SLM. The device is entirely edge-addressed. The transmission of the two crossed 1-D SLMs during the  $n$ th clock cycle is given by the outer product of the  $n$ th row of B and the  $n$ th column of A. The transmitted light falls on a 2-D accumulator detector array and summed to form the product matrix C. The multiplication of two  $N \times N$  matrices, which requires  $N^3$  multiplications, is performed in  $N$  clock cycles.

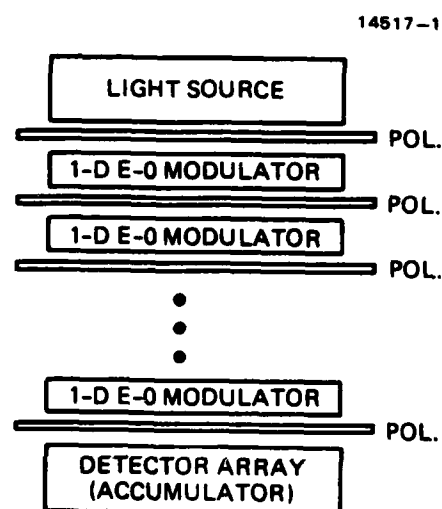
Figure 1 shows the two matrices, A and B, being fed into PRIMO (row and column at a time, respectively). The two orthogonally oriented 1-D SLMs consist of linear electrodes deposited on thin electro-optic crystal slices. (Polarizers that



**Figure 1. Matrix multiplication using outer product decomposition.**



a.



b.

Figure 2. PRIMO architecture.

are located between the electro-optic crystals have been omitted from Figure 1 for the sake of clarity.) Since the electrodes in each layer are linear striped, either the transverse or longitudinal electro-optic effect can be used. During the  $n$ th clock cycle, light incident on PRIMO is modulated in one direction by the  $n$ th row of A and in the orthogonal direction by the  $n$ th column of B, forming the  $n$ th outer product matrix at the accumulator detector array, the sum of which is the product matrix C.

Many electro-optic crystal layers can be stacked together as shown in Figure 2. Figure 2(a) shows the basic device configuration for matrix-matrix multiplication. By making the layers thin, no lenses are required between the layers and an extended incoherent light source can be used. Figure 2(b) shows a multilayer programmable stack of 1-D electro-optic modulators which can be used for cascaded operations and for more complicated operations such as generation of the cross-ambiguity function between two signals, which will be described below.

The Fourier transform of 2-D data can be calculated by utilizing the basic configuration of Figure 1 and Figure 2(a) because Fourier transformation is a special case of matrix-matrix multiplication. For example, if a 1-D Fourier transform of 2-D data is desired, the 2-D data are placed in matrix B and the corresponding Fourier exponential terms in matrix A of Figure 1. The processor is then stepped through the sequence described above for matrix multiplication. The product matrix C in the accumulator is then the 1-D Fourier transform of matrix B. If a 2-D Fourier transform is required, then the previously calculated C matrix values must be transferred back to the B matrix and the processor is stepped through another sequence with a different set of Fourier exponential terms in the A matrix which now correspond to a 1-D Fourier transform in the orthogonal direction. The final result in the accumulator after  $2N$  clock cycles will be the 2-D Fourier transform of the 2-D input data, assuming the input array is  $N \times N$ .

## 2.2 CORRELATION AND THE CROSS-AMBIGUITY FUNCTION

An interesting signal processing operation, important in radar, for example, is the calculation of the sliding window cross-ambiguity function described by the equation

$$A(\nu, \tau) = \int_0^T G(t)F(t-\tau)\exp(i2\pi\nu t)dt, \quad (3)$$

where  $F(t)$  is a continuously running signal and  $G(t)$  is a finite reference template of length  $T$ . Correlation is a special case of Eq. (3) for  $\nu = 0$ .

The PRIMO architecture for calculating the sliding window cross-ambiguity function is shown in Figure 3. The Fourier exponential terms are located in matrix  $E$ , the template function  $G$  is continuously applied to one electro-optic modulator layer as shown, and the continuously running signal  $F$  is input into an electro-optic modulator layer that has had its rows shorted across the entire plane. This layer can be eliminated by using a pulsed light source modulated by  $F$ , such as an LED or laser diode. A further advantage of using such a source is that the detector plane can be easily shuttered during clocking of data from one cell to the next. The PRIMO output  $A_{ln}$  is given by

$$A_{ln} = \sum_{m=0}^{M-1} f_{n-m}g_m\exp(i2\pi l(n-m)/M) \quad (4)$$

The indices  $n$ ,  $m$ , and  $l$  correspond to delay time,  $\tau$ , time  $t$ , and frequency,  $\nu$ , respectively. Equation (4) is equivalent to Eq. (3) except that  $f_{n-m}$  is reversed in time. This is not a problem so long as transconductance,  $g_m$ , is also reversed. (Convolution instead of correlation results if  $g_m$  is not reversed.) The objective is to correlate the most recent  $M$  samples of the  $F$  function (weighted by the Fourier exponential)

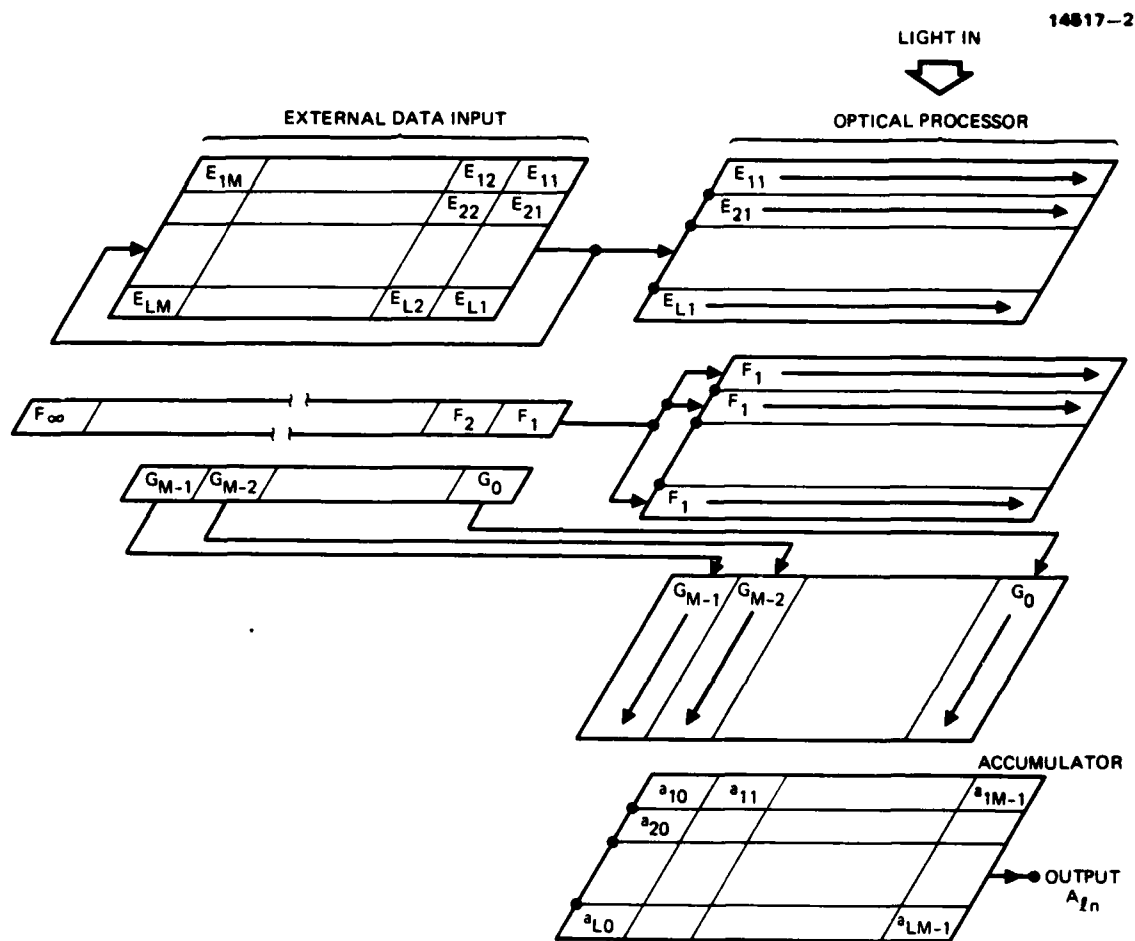


Figure 3. Calculation of the sliding window cross-ambiguity function.

with the fixed G template. The summation is carried on the product of the most recent M samples of the F function and the M samples of the G function. Since the E terms of the Fourier exponential matrix are periodic in time with period M, they are recirculated. In each clock period a new update for the A function is extracted.

The  $a_{ij}$  terms marked on the accumulator in Figure 3 have a different meaning from the  $C_{ij}$  terms of Figure 1. The  $a_{ij}$  terms are partial sums (intermediate results), and at each clock cycle are shifted one cell to the right and a new term added. They are gradually built up to the full value of M terms and then output as  $A_{ij}$ ; therefore,  $a_{i, M-1} = A_{in}$ . This feature is a result of the sliding window nature of this particular architecture and results in the real-time calculation of the cross-ambiguity function for continuously running 1-D input signals. However, fixed window correlations and ambiguity functions for static or fixed input data can also be easily implemented using the PRIMO approach.

The general algorithm described above can be used to implement any triple product form besides the ambiguity function. Triple correlation or the Wigner distribution can be calculated as well with a high degree of parallelism.

### 2.3 FADDEEV ALGORITHM

The Faddeev algorithm calculates the matrix form  $CA^{-1}B+D$  from given matrix inputs A, B, C, and D. Important special uses are matrix inversion and multiplication, the solution of linear equations and least square problems. This section describes a PRIMO architecture for the optical implementation of the Faddeev algorithm by means of the Gaussian elimination or condensation technique. The operations in PRIMO are done in parallel and the algorithm is programmable in the sense that any of its special cases can be implemented readily.



A summary of the algorithm is shown in Figure 4. Four given matrices A, B, C, and D are placed in a four quadrant field as shown. The matrix A is multiplied by matrix W and the result is added to the third quadrant field (-C); the same is done to the second quadrant field (B) and the fourth quadrant field (D). A Gaussian elimination procedure (explained later) is used to find W, so that  $WA - C = 0$  or  $W = CA^{-1}$ . In this case the third quadrant field vanishes and in the fourth quadrant one obtains

$$CA^{-1}B + D,$$

which includes matrix multiplications, inversion, and addition as special cases.

In Figure 5 some particular results obtainable with the Faddeev algorithm are shown. In the left column are shown the input matrices that are placed in the four quadrants of the field. By using Gaussian elimination, the outputs shown in the right column are obtained. The output will appear in the fourth quadrant of the field. The top entry is the general case discussed in the previous figure. Assuming  $A = 1$  (unity matrix),  $C = 1$  and  $D = 0$ , matrix inversion and multiplication result. For  $A = 1$  and  $D = 0$ , the matrix product of CB results, and for  $B = C = 1$  and  $D = 0$ , matrix inversion is obtained. It is important to note that one obtains the different functions merely by changing the input data, not the system architecture; therefore, this system is highly programmable.

Using the well-known Gaussian elimination technique and treating the four matrices in the four quadrants as one matrix of order  $2N$ , one calculates terms in a new matrix with the following formula:

$$X_{nm}^{\text{new}} = X_{nm}^{\text{old}} - \frac{X_{n1}^{\text{old}} \cdot X_{1m}^{\text{old}}}{X_{11}^{\text{old}}} \quad (5)$$

$$\begin{array}{c}
 \begin{array}{c|c} A & B \\ \hline -C & D \end{array} \\
 \\
 \begin{array}{c|c} A & B \\ \hline WA - C & WB + D \end{array} \\
 \\
 \begin{array}{c|c} A & B \\ \hline 0 & CA^{-1}B + D \end{array}
 \end{array}$$

Figure 4. The Faddeev algorithm.

INPUT	GAUSSIAN ELIMINATION	OUTPUT (4TH Q)
$\begin{array}{c c} A & B \\ \hline -C & D \end{array}$	$\longrightarrow$	$CA^{-1}B + D$
$\begin{array}{c c} 1 & B \\ \hline -C & D \end{array}$	$\longrightarrow$	$CB + D$
$\begin{array}{c c} A & B \\ \hline -1 & 0 \end{array}$	$\longrightarrow$	$A^{-1}B$
$\begin{array}{c c} 1 & B \\ \hline -C & 0 \end{array}$	$\longrightarrow$	$CB$
$\begin{array}{c c} A & 1 \\ \hline -1 & 0 \end{array}$	$\longrightarrow$	$A^{-1}$

Figure 5. Special cases of the Faddeev algorithm.

All the terms in the top row and left column of the "new" matrix become zero. (This is easy to verify by substituting  $n = 1$  or  $m = 1$  or  $n = m = 1$  in the above expression.) Therefore the "new" matrix is reduced to order  $2N-1$ . If this procedure is repeated  $N-1$  times more, a matrix of order  $N$  given by the expression  $CA^{-1}B+D$  results. If during this procedure an upper left corner term ( $X_{11}^{(1)}$ ) is zero, "partial pivoting," is done, which means to exchange the first row with any other nonzero first term row, while at the same time these two rows will also be exchanged in the final (output) matrix. This entire procedure is familiar as a method of solving a set of linear equations.

In Figure 6 the Faddeev algorithm and the Gaussian elimination procedure are applied to the matrix inversion problem. Assuming for the input data  $B = 1$  in the first quadrant,  $C = 1$  in the third quadrant, and  $D = 0$  in the fourth quadrant, one obtains  $A^{-1}$ . For example, let

$$A = \begin{bmatrix} 5 & 3 \\ 9 & 4 \end{bmatrix}$$

be a given  $2 \times 2$  matrix. The  $4 \times 4$  input extended matrix is shown in the lower left corner of the diagram. Using Eq. (5), the matrix shown in the center of the diagram is calculated term by term. The first row and the first column in the new matrix are zeros. Applying Eq. (5) again to this  $3 \times 3$  matrix, one obtains the matrix shown in the right of the diagram. This final matrix is  $2 \times 2$  and it is the desired result  $A^{-1}$ . It is important to note that the variable information at each step is only of size  $N \times N$ . Therefore, one could use an  $N \times N$  system and shift the information one position north and west at each step. In addition, one would have to temporarily store the uppermost row and the left most column of the "old" matrix to calculate the terms of the "new" matrix at each step.

## MATRIX INVERSION EXAMPLE

$$CA^{-1}B + D \quad \left| \begin{array}{c|c} A & 1 \\ \hline -1 & 0 \end{array} \right| \quad X_{nm}^{NEW} = X_{nm}^{OLD} - \frac{X_{n1}^{OLD} X_{1m}^{OLD}}{X_{11}^{OLD}}$$

5	3	1	0	0	0	0	0	0	0	0	0	0	0
9	4	0	1	0	0	-1.4	-1.8	1	0	0	0	0	0
-1	0	0	0	0	0	0.6	0.2	0	0	0	-0.57	0.43	0
0	-1	0	0	0	0	0	-1	0	0	0	1.29	-0.71	0

Figure 6. Matrix inversion using the Faddeev algorithm.

In Figure 7 the implementation of matrix inversion using Faddeev algorithm plus Gaussian elimination in the PRIMO system is shown. At the bottom of the system there is an  $(N+1) \times (N+1)$  accumulator. The extra row and column (shaded in the diagram) are used to store the uppermost row and the left most column of the "old" matrix. The matrix to be inverted is loaded into the accumulator in the unshaded area and is stepped one north and one west. In addition to the accumulator there are three active EO layers. The upper one (EO 1) is fed by the inverse of the uppermost left term of the "old" matrix  $(1/X_{11}^{old})$ .

The important advantage of the Faddeev-Gaussian procedure is that this divisor is constant for the whole array in a given step of the transformation. This enables one to calculate the inverse of this term (shown in the diagram as  $1/X$ ) in a serial electronic circuit and then use the calculated value to multiply the whole area using a "shorted" EO layer. The EO 2 is fed by the remainder of the terms of the left most row of the "old" matrix and -1 as shown in Figure 7. Similarly, the EO 3 is fed by the remainder of the terms of the uppermost row of the "old" matrix and 1. The result of the triple multiplication  $(X_{n1}^{old})(X_{1m}^{old})/X_{11}^{old}$  is subtracted from the values stored in the accumulator. The information is shifted one step north and one step west and the triple multiplication with the subtraction is repeated. This procedure is repeated N times. At the end, the inverted matrix is stored in the unshaded area of the accumulator. The "zero" registers, shown next to the accumulator, will not exist in a practical system; they are shown here for display purposes only. In a practical system the accumulator will be designed in such a way that, when shifted north and west, zeros will enter to the bottom row and the right most column. The pivoting circuit (not shown in the diagram) will be activated each time zero appears in the uppermost left pixel and the control unit will keep track of these pivotings.

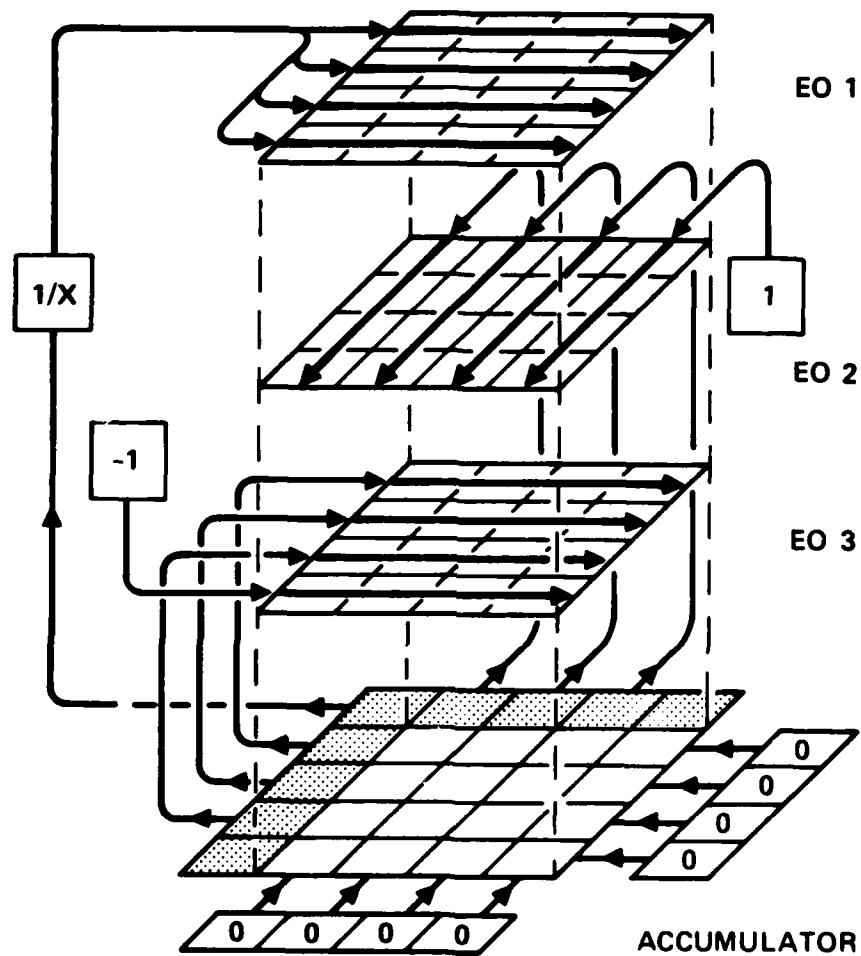


Figure 7. PRIMO implementation of matrix inversion.

In Figure 8 the implementation of the complete Faddeev algorithm plus Gaussian elimination scheme for the PRIMO system is shown. The output of this system will be  $CA^{-1}B+D$ . Assume that the accumulator is of size  $2N \times 2N$ , the same as the extended input matrix, and that it will be loaded into the accumulator. The procedure is the same as in the case of matrix inversion. First one multiplies and subtracts. Then the accumulator is shifted one step north and one west; again multiply and subtract. This procedure is repeated  $N$  times. The result  $CA^{-1}B+D$  appears in the upper left corner of the accumulator.

Negative and complex numbers can be handled as will be shown in a subsequent section.

## 2.4 HISTOGRAM

The generation of histograms of 1- or 2-D signals is an important operation in signal and image processing. The calculation of the histogram of an  $N \times N$  pixel image using a serial computer requires  $n \times N \times N$  operations (where  $n$  is the number of levels) and is very time consuming. The level of each pixel must be compared to the  $n$  set levels. As shown in Figure 9, the parallelism and edge addressing capability of PRIMO can be used to generate the histogram of an  $N \times N$  image in  $N$  clock cycles.

Two crossed, 1-D electrooptic (EO) modulator layers are shown schematically at the bottom of Figure 9 with no polarizer between them. The two EO layers are situated between crossed polarizers which results in the addition or subtraction of signals applied to the two layers, depending on their relative polarities. This effect is utilized as an  $n$  level comparator by positioning a set of  $n \times N$  zero or null detectors underneath the EO modulators. A zero detector is activated when the voltages applied to the two EO modulators are equal. The 2-D  $N \times N$  signal or image is applied one line at a time to the top EO layer. The bottom EO layer is addressed by a set of  $n$  fixed (but programmable) voltages which represents the  $n$  signal levels into

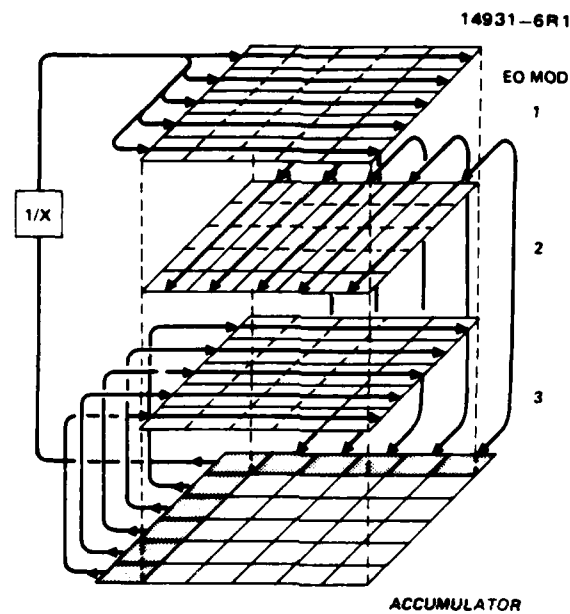


Figure 8. PRIMO implementation of the general Faddeev algorithm.



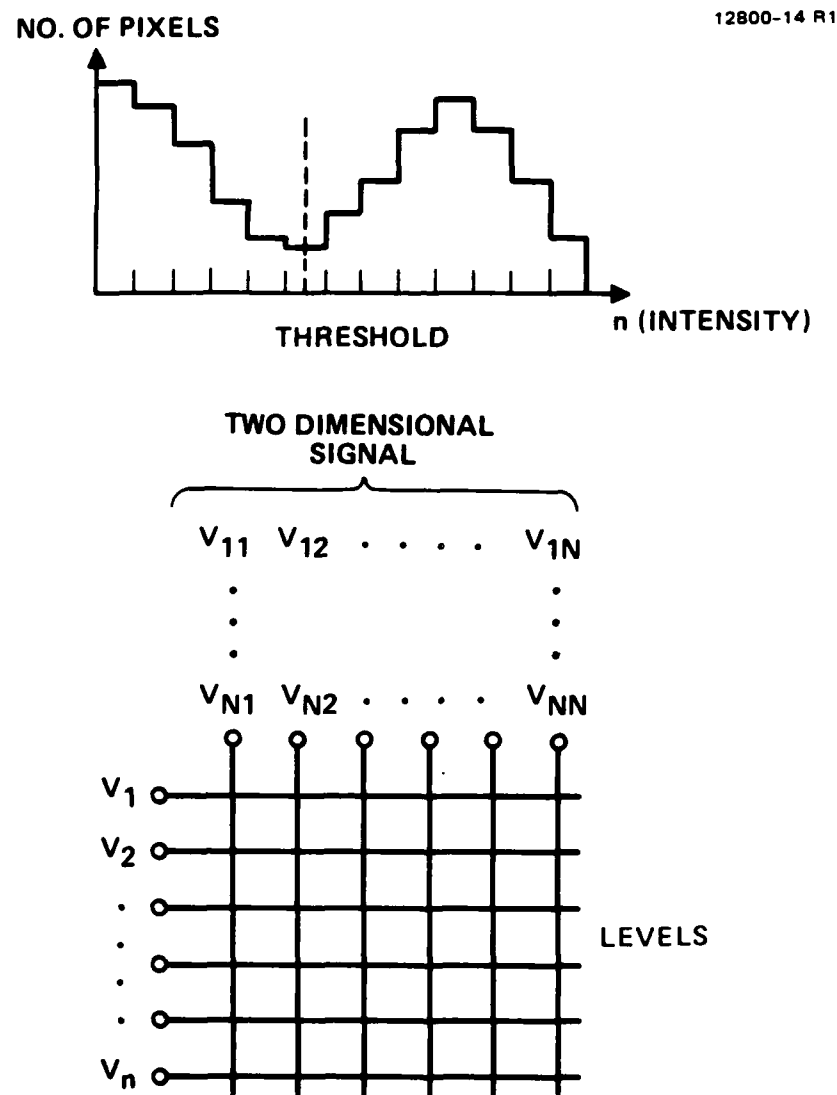


Figure 9. Histogram generation using PRIM0.

which the pixels of the input are to be sorted. Each zero detector feeds one of  $n$  counters which keeps track of the number of pixels in each of the  $n$  levels. Each line of the input data is compared in parallel to the  $n$  signal levels. The histogram, therefore, is generated in  $N$  clock cycles.

## 2.5 BIPOLAR AND COMPLEX NUMBER REPRESENTATION

In incoherently illuminated optical processors, numbers are represented by light intensities which are nonnegative quantities. Most operations, however, involve bipolar and often complex numbers. A bias-based time and space multiplexed method for representing bipolar and complex numbers and which linearizes the modulator-detector response is described in this section.

A shortcoming common to most optical matrix multiplication techniques is the square law detector nonlinearity. Modulators based on electro-optic crystals modulate light amplitude linearly in response to an applied voltage (for voltages that are small compared to the half-wave voltage), while most detectors respond to light intensity. The detector output is therefore proportional to the square of the applied voltages. For example, the combined amplitude transmission of two stacked EO modulator layers with polarizers between the layers is given by

$$\begin{aligned} t &= t_a t_b \\ &= \sin(\Delta_a + \phi_a) \sin(\Delta_b + \phi_b) \\ &\approx (\Delta_a + \phi_a) (\Delta_b + \phi_b) \end{aligned}$$

where  $\phi_x$  is the birefringent phase shift induced by voltage  $X$  and  $\Delta_x$  is a constant bias, which may be the result of crystal birefringence or a constant voltage bias. It is assumed above that  $\Delta_x$  and  $\phi_x$  are small enough to neglect the sine nonlinearity. The detector response is proportional to  $|t|^2$ , which is clearly not proportional to the desired product,  $\phi_a \phi_b$ .

The square law detection nonlinearity can be eliminated while simultaneously allowing the representation of bipolar numbers by introducing a bias and sequencing the data in a special way. The bias-based method for linear bipolar number multiplication is illustrated in Figure 10. The input data,  $\phi_x$ , are added to the constant bias terms,  $\Delta_x$ . The bipolar input data,  $\phi_x$ , are multiplied by +1 or -1, as shown, regardless of their polarity. Including the sine nonlinearity resulting from the transfer function of the electro-optic modulators, the contents of the plus and minus cells of the integrating detector are proportional to

$$d_+ = [\sin(\Delta_a + \phi_a)\sin(\Delta_b + \phi_b)]^2 + [\sin(\Delta_a - \phi_a)\sin(\Delta_b - \phi_b)]^2$$

$$d_- = [\sin(\Delta_a + \phi_a)\sin(\Delta_b - \phi_b)]^2 + [\sin(\Delta_a - \phi_a)\sin(\Delta_b + \phi_b)]^2$$

The bipolar electrical output of the difference amplifier is given by the difference between the contents of the plus and minus cells of the detector. (Alternatively, the difference could be taken by convolving the output plane with a sine function of width equal to the two detector cells.) By using simple trigonometric identities, it can be shown that the amplifier output is proportional to

$$d = d_+ - d_- = \sin(2\Delta_a)\sin(2\Delta_b)\sin(2\phi_a)\sin(2\phi_b)$$

For input data voltages that are small compared with the electro-optic crystal half-wave voltage, the output voltage is linearly proportional to the input data,  $\phi_a$  and  $\phi_b$ , and has zero bias. This technique removes the square law detection nonlinearity because it eliminates all of the even order terms in the power series expansion in  $\phi$  about  $\Delta$  of the modulator transmittance, leaving only the odd order terms. An interesting point that will be discussed further in the next section is that the size of the

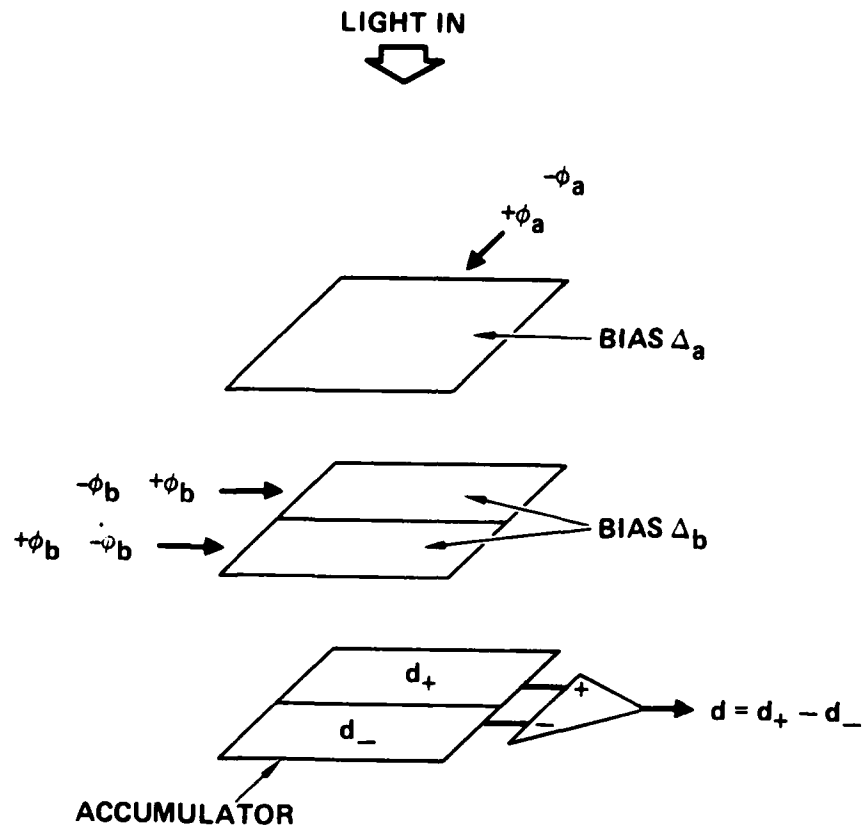


Figure 10. Bias-based method for bipolar multiplication.

bias has no effect on the linearity for linear electrooptic materials. The sine nonlinearity remains, but is small for input data voltages that are small compared with the half-wave voltage. All sources of bias are compensated to the extent that the bias is uniform between adjacent plus and minus cells. Detector dark current bias is compensated, as well as optical bias arising from EO crystal birefringence or incomplete polarizer extinction. This mitigates some of the detector noise sources and increases the effective dynamic range of the processor, as described further in the next section.

Since the bias-based method eliminates all even order terms in the power series expansion of the modulator transfer function, it will also work without any changes for quadratic as well as linear EO materials. In quadratic materials, such as some forms of PLZT, the birefringent phase shift is proportional to the square of the applied voltage instead of the voltage itself. For quadratic materials, the bipolar detector output  $d$  obtained using the bias-based method is given by

$$d_+ = [\sin(\Delta_a + \phi_a)^2 \sin(\Delta_b + \phi_b)^2]^2 + [\sin(\Delta_a - \phi_a)^2 \sin(\Delta_b - \phi_b)^2]^2$$

$$d_- = [\sin(\Delta_a + \phi_a)^2 \sin(\Delta_b - \phi_b)^2]^2 + [\sin(\Delta_a - \phi_a)^2 \sin(\Delta_b + \phi_b)^2]^2$$

$$d = d_+ - d_-$$

$$= \underbrace{[\sin^2(\Delta_a + \phi_a)^2 - \sin^2(\Delta_a - \phi_a)^2]}_{\text{Term A}} \underbrace{[\sin^2(\Delta_b + \phi_b)^2 - \sin^2(\Delta_b - \phi_b)^2]}_{\text{Term B}}$$

The linearization of the PLZT modulator transfer function is illustrated in Figures 11 and 12. Figure 11 shows the unprocessed output of a quadratic PLZT modulator. The output

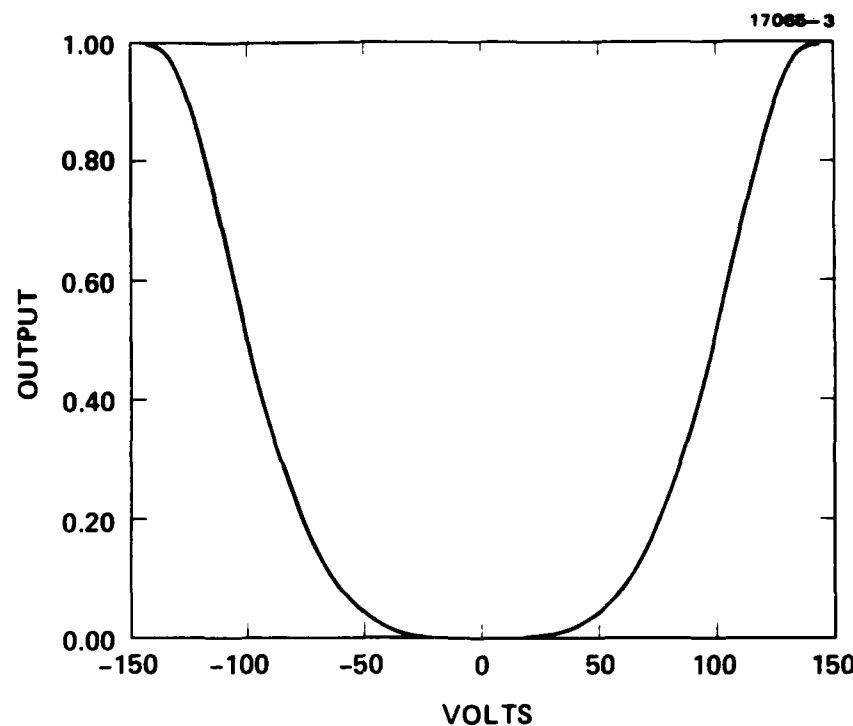


Figure 11. PLZT modulator transfer function (100  $\mu\text{m}$  gaps).

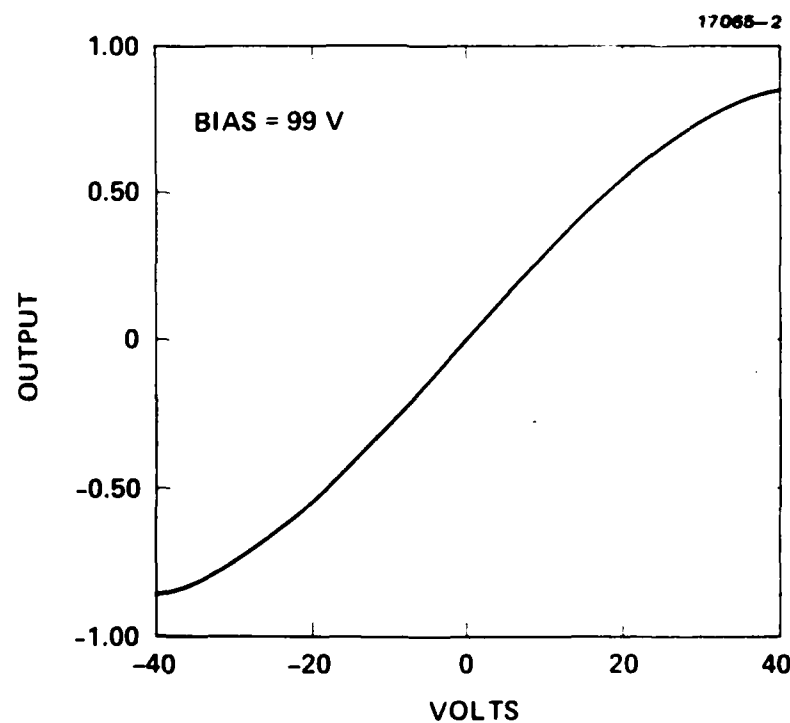


Figure 12. Linearized PLZT modulator transfer function (100  $\mu\text{m}$  gaps).

linearized using the bias-based method is illustrated in Figure 12 where Term A from the above equation is plotted as a function of the signal  $\phi_s$ . The output is quite linear for large variations in  $\phi$ .

The control circuitry for the bias-based method is simple because the data input algorithm is independent of the polarity of the data. The data are sequenced without regard to their polarity.

A bias-based method for linear representation of complex multiplication is illustrated in Figure 13. It is a straightforward extension of bipolar multiplication. The real and imaginary parts of the data are represented as bipolar quantities. Upon readout, the output of the difference amplifier is first the imaginary part,  $d^i$ , and then the real part,  $d^r$ , of the product, given by

$$d^i = d_+ - d_- = 16\Delta_a \Delta_b (\phi_a^i \phi_b^r + \phi_a^r \phi_b^i)$$

$$d^r = d_+^r - d_-^r = 16\Delta_a \Delta_b (\phi_a^r \phi_b^r - \phi_a^i \phi_b^i),$$

in agreement with the definition of complex multiplication. The real and imaginary parts of the product are obtained directly in a convenient bipolar, linear form. The above equation assumes  $\phi \ll \pi/2$  so that the sine nonlinearity can be neglected.

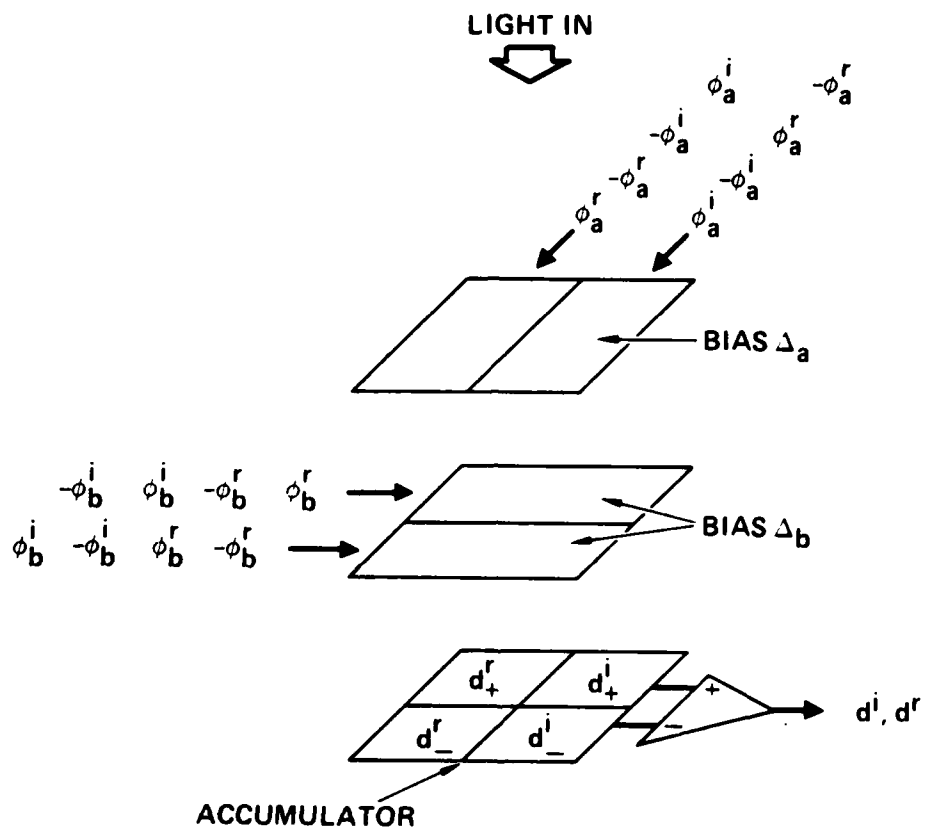


Figure 13. Bias-based method for complex multiplication.



201 202 1 3 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000 1001 1002 1003 1004 1005 1006 1007 1008 1009 1010 1011 1012 1013 1014 1015 1016 1017 1018 1019 1020 1021 1022 1023 1024 1025 1026 1027 1028 1029 1030 1031 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 1046 1047 1048 1049 1050 1051 1052 1053 1054 1055 1056 1057 1058 1059 1060 1061 1062 1063 1064 1065 1066 1067 1068 1069 1070 1071 1072 1073 1074 1075 1076 1077 1078 1079 1080 1081 1082 1083 1084 1085 1086 1087 1088 1089 1090 1091 1092 1093 1094 1095 1096 1097 1098 1099 1100 1101 1102 1103 1104 1105 1106 1107 1108 1109 1110 1111 1112 1113 1114 1115 1116 1117 1118 1119 1120 1121 1122 1123 1124 1125 1126 1127 1128 1129 1130 1131 1132 1133 1134 1135 1136 1137 1138 1139 1140 1141 1142 1143 1144 1145 1146 1147 1148 1149 1150 1151 1152 1153 1154 1155 1156 1157 1158 1159 1160 1161 1162 1163 1164 1165 1166 1167 1168 1169 1170 1171 1172 1173 1174 1175 1176 1177 1178 1179 1180 1181 1182

### SECTION 3

#### IMPACT OF PRIMO ALGORITHMS ON DETECTOR UNIFORMITY REQUIREMENTS

The detector, signal  $d$ , can be written as

$$d = S + \delta + n ,$$

where  $S$  is the true signal,  $\delta$  is a bias buildup from the time integration of both the detector dark current and residual light leakage through the EO modulators, and  $n$  is time-dependent noise modeled as a zero mean stochastic process with deviation  $\sigma$ . The dynamic range for conventional detection is given by

$$DR = \frac{d_{sat}}{\delta + \sigma} ,$$

where  $d_{sat}$  is the saturated detector signal. Since the bias terms are subtracted out in the bias-based technique, the resultant theoretical dynamic range for the same conditions is given by

$$DR = \frac{d_{sat}}{\sigma} .$$

The dynamic range is now limited by stochastic noise rather than by bias buildup. In practice, the effectiveness of the bias subtraction for the case of matrix multiplication will be limited by variations between adjacent detector "pixels."

The equation for the detector output for linear electrooptic materials is reproduced below.

$$d = d_+ - d_- = \sin(2\Delta_a)\sin(2\Delta_b)\sin(2\phi_a)\sin(2\phi_b) .$$

Two relevant observations can be made regarding the above equation. First, the bias terms appear as multiplicative factors and, second, the bias terms are separable from the signal terms. Global variations (over distances greater than two modulator widths) in the bias due to spatial nonuniformities in detector dark current, therefore, manifest themselves as spatially varying inaccuracies in  $d$ . The separability of the bias and signal terms, however, can be exploited to compensate for the detector global bias variations. If  $\Delta_a$  and  $\Delta_b$  are set equal to  $\pi/4$  radians, then the multiplicative bias terms will be biased at the maximum of the sine function where the derivative with respect to  $\Delta$  is much less than 1. Small fractional variations in  $\Delta$  will be transformed into much smaller fractional variations in  $d$ , thus providing partial compensation for spatial nonuniformities. It is fortuitous that the optimum value of the bias for nonuniformity compensation is also the optimum value for maximum signal gain.

The analogous situation for quadratic electrooptic materials is slightly more complicated. The relevant equation for  $d$  is reproduced below from the previous section:

$$d = d_+ - d_-$$

$$= \underbrace{[\sin^2(\Delta_a + \phi_a)^2 - \sin^2(\Delta_a - \phi_a)^2]}_{\text{Term A}} \underbrace{[\sin^2(\Delta_b + \phi_b)^2 - \sin^2(\Delta_b - \phi_b)^2]}_{\text{Term B}}$$

Term A can be further simplified:

$$\text{Term A} = \sin(4\Delta_a\phi_a) [\sin(2\Delta_a^2)\cos(2\phi_a^2) + \cos(2\Delta_a^2)\sin(2\phi_a^2)]$$

Term A is plotted in Figure 14 as a function of bias  $\Delta_a$ , assuming  $\phi_a$  is small ( $\phi_a^2 = 0.01$  radians). In order to achieve the same nonuniformity compensation, a value of  $\Delta_a$  must be found

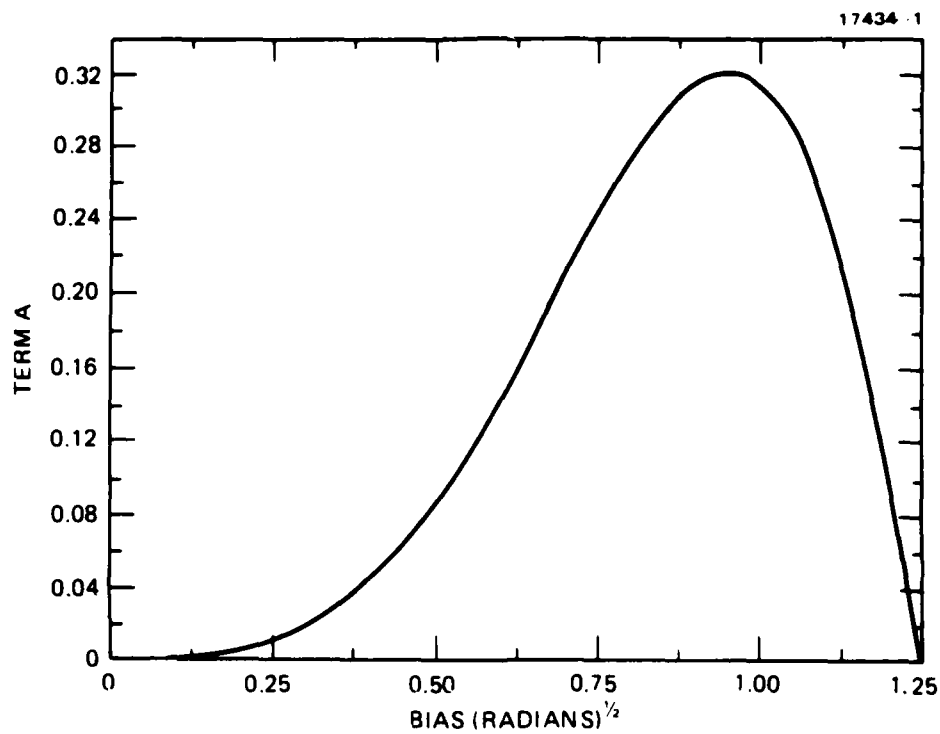


Figure 14. Dependence of detector output on bias for quadratic electrooptic effect using bipolar algorithm.

that minimizes the derivative of Term A with respect to the bias. From the figure it is clear that there is one candidate value that corresponds to the maximum of Term A where the derivative is zero. The derivative is plotted in Figure 15. It is apparent that there is an appreciable range of values about the zero derivative point where the derivative is less than one and bias variations can be compensated.

In applications involving correlation operations where data are shifted every clock cycle, the pixel variations will be averaged. Thus we expect the dynamic range performance for correlation type operations to be superior to that for matrix multiplication in that both local and global nonuniformities can be compensated.

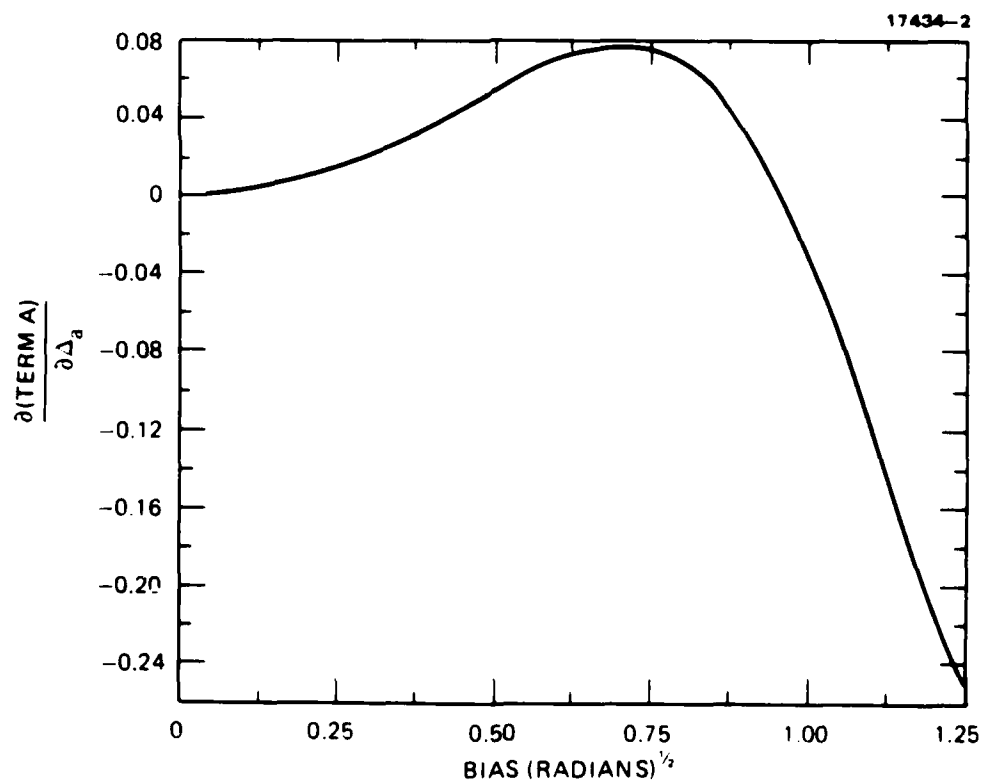


Figure 15. Derivative of Figure 14 with respect to the bias.

## SECTION 4

### SUMMARY

In this Final Report, the Programmable Real-time Incoherent Matrix Multiplier for Optical Processing (PRIMO), which is based on outer-product decomposition, was described. PRIMO is a versatile processor that can multiply two  $N \times N$  matrices in  $N$  clock cycles. In addition to matrix multiplication, PRIMO can perform such signal processing functions as correlation, convolution, 2-D Fourier transform, calculation of the cross-ambiguity function for both sliding and fixed windows (dynamic and static signals), matrix inversion, and histogram generation.

It was shown that PRIMO algorithms developed for representing bipolar and complex numbers using incoherent light can also be utilized for compensation of modulator and detector nonuniformities. Both linear and quadratic electrooptic effect cases were analyzed and optimum values for bias levels were determined.

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